

Praetura Growth VCT plc

Application Form



More
than
money •

Application Form

Introduction

Before making an Application, please ensure you have read and understood the Prospectus. If you are in any doubt as to what action you should take, you should consult a person authorised under the Financial Services and Markets Act 2000 (as amended) ("FSMA") who specialises in advising on the acquisition of shares and other securities.

The Offer will open for Applications on 14 June 2023 and close for Applications (unless fully subscribed earlier or otherwise at the discretion of the Board) no later than 3 pm on 3 April 2024, in the case of the 2023/2024 tax year offer, and no later than 3 pm on 31 May 2024, in the case of the 2024/2025 tax year offer.

You must complete all relevant parts of the Application Form (pursuant to the Terms and Conditions of the Application and the Application Procedures detailed in the Prospectus). Incomplete or otherwise deficient Applications (including any associated monies) will not be considered eligible for acceptance and will be returned to you at your risk.

For any queries concerning the Application Form, please contact the Receiving Agent at vct@praetura.co.uk or 0161 641 9475 (Mon-Fri, 9 am – 5.30 pm).

It should be noted that the Receiving Agent will only deal with the practicalities of making an application and is not permitted to provide any investment, financial or tax advice in connection with any investment in the Company under the Offer.

Nominees

If you are a nominee applying on behalf of beneficial owner Applicants, please complete and submit an Application Form for each Applicant with the relevant nominee details (CREST or otherwise) in Section 5 of the Application Form. Nominees should contact the Receiving Agent regarding the remittance of the associated Application monies to ensure compliance with the Money Laundering Notice set out in the Prospectus.

Application Form Submission by Email

Please send the completed Application Form to The City Partnership (UK) Limited at vct@praetura.co.uk. Payments associated with an email submission may be made by electronic transfer or cheque. Please see below for further details.

Application Form Submission by Post/Hand

Please send the completed Application Form, together with your cheque (if applicable), by post, or deliver it by hand (during normal business hours) to Praetura Growth VCT plc, The City Partnership (UK) Limited, The Mending Rooms, Park Valley Mills, Meltham Road, Huddersfield HD4 7BH.

Section 1 – Financial Intermediary Firm

Is a financial intermediary firm associated with this application?

- No. I am a direct investor.
(Please go to SECTION 2 – APPLICANT DETAILS)
- Yes, a financial intermediary firm is associated with this application.

Financial intermediary network or service provider/platform

Is the financial intermediary firm associated with a network or service provider/platform?

- No, the financial intermediary firm is not associated with a network or service provider/platform.
- Yes, the financial intermediary firm is associated with the network or service provider/platform specified below.

- | | |
|---|---|
| <input type="checkbox"/> GrowthInvest | <input type="checkbox"/> SimplyBiz |
| <input type="checkbox"/> Openwork Limited | <input type="checkbox"/> St James's Place Wealth Management |
| <input type="checkbox"/> Platform One | <input type="checkbox"/> Tenet Connect |
| <input type="checkbox"/> Quilter | <input type="checkbox"/> Tenet Select |
| <input type="checkbox"/> SIFA | <input type="checkbox"/> Other (please specify below) |

Please specify:

Section 2 – Applicant Details

Personal Information

Title

Forename(s)

Surname

Date of Birth / /

Current Residential Address

Postcode

Country

Previous Address
(if less than 3 years)

Postcode

Country

Contact Details

The Receiving Agent will email you regarding the processing of this application and the associated monies. Please provide your email address below:

Email Address

As the Receiving Agent asks that you use your telephone number as part of your payment reference, please provide it below:

Phone Number

Marketing communication preferences

The Manager would occasionally like to contact you regarding new products and services. Please confirm your marketing communication preferences below (tick all that apply):

Email Post Phone

You may opt out of receiving marketing communications from the Manager at any time by contacting the Manager at 0161 641 9475 or investors@praetura.co.uk

If you would like to find out more about how the Manager uses and looks after your personal information, please refer to the Manager’s privacy notice, which can be found at <https://www.praeturaventures.com/privacy-policy/>

Tax residency details

If you are a tax resident of the United Kingdom, please provide your National Insurance number below. Please provide your Unique Taxpayer Reference if you do not have a National Insurance number.

National Insurance Number

Unique Taxpayer Reference

Non-UK tax residency details

Other than the United Kingdom, please list below any countries in which you are a tax resident and the associated Taxpayer Identification Number (TIN) or equivalent:

Country	<input type="text"/>	TIN/Equivalent	<input type="text"/>
Country	<input type="text"/>	TIN/Equivalent	<input type="text"/>
Country	<input type="text"/>	TIN/Equivalent	<input type="text"/>

Existing investor

Excluding the Company, have you invested in one or more of the Manager’s other investment products?

- No, I have not invested in one or more of the Manager’s other investment products.
- Yes, I have invested in one or more of the Manager’s other investment products and noted my investor reference (where known) below.

Investor Reference

Section 3 – Application Amount

I hereby offer to subscribe the following total application amount **(including any initial adviser charge for facilitation as set out in Section 8)** in pounds sterling for Shares allotted under the Offer:

	Tax Year 2023/24	Tax Year 2024/25
Praetura Growth VCT plc:	<input type="text"/>	<input type="text"/>
Total Application Amount (£)	<input type="text"/>	

Application(s) must be for at least £3,000 (whole pounds sterling amounts only). If you subscribe to both tax years, the minimum investment is £3,000 per tax year. If you are not subscribing to one of the tax years, please enter '0' in the associated box. Upfront income tax relief will be available for qualifying investors regarding the Total Application Amount accepted, less any initial adviser charge to be facilitated as detailed in Section 8.

Section 4 – Payment

Payment can be made by bank transfer or cheque. Please confirm your method of payment below:

Bank transfer

The bank account to which you should remit payment is as follows:

Bank name: **National Westminster Bank Plc**

Account name: **Praetura Growth VCT plc**

Account number: **15440036**

Sort Code: **010085**

Please reference your transfer(s) using your initials and telephone number (alphanumeric, no spaces) from Section 2 – Applicant Details.

Cheque

Cheques should be made payable to: Praetura Growth VCT plc

Please reference the reverse of your cheque using your initials and telephone number (alphanumeric, no spaces) from Section 2 – Applicant Details.

Please send your cheque to:

**Praetura Growth VCT plc
The City Partnership (UK) Ltd
The Mending Rooms, Park Valley Mills
Meltham Road
Huddersfield
HD4 7BH**

The Company recommends using Royal Mail Special Delivery or Tracked mail and allowing at least two working days for delivery. Please allow three working days for cheques to clear.

Remitting bank account details

Regardless of your payment method, please provide the details of the remitting bank account below:

Account name

Account Number

Sort Code

Please note that the bank account should be a pound sterling account held at a UK-regulated credit or e-money institution in the sole/joint name of the applicant.

Section 5 – Shareholding Preferences

Registration

For any Shares for which your application is accepted, please confirm your shareholding registration preference:

- Individual – certificated shareholding
- Nominee – CREST shareholding
- Nominee – certificated shareholding

Nominees

Please provide the relevant nominee details below:

CREST Participant ID	<input type="text"/>	CREST Member Account ID	<input type="text"/>
Nominee Name	<input type="text"/>	Nominee Contact Number	<input type="text"/>
Nominee Contact Email	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country	<input type="text"/>		

Note: The following “Communications” and “Dividends” sections concern individual certificated holdings only. Beneficial holders within a nominee (CREST or otherwise) should contact their nominee regarding their preferences.

Communications

As an individual certificated shareholder, how would you like the Company to communicate with you regarding any Shares for which your application is accepted?

- Notifications by email to the email address provided in Section 2
- Notifications by post to the address provided in Section 2
- Hard copy documents by post to the address provided in Section 2

Section 5 Continued – Shareholding Preferences

Dividends

As an individual certificated shareholder, please confirm your dividend preference in respect of your total Company shareholding below:

Praetura Growth VCT plc: **Cash - Bank Transfer**

Dividend bank mandate

As an individual certificated shareholder, please provide the details of the bank account into which you wish the Company to pay any dividends:

Account in name of

Sort Code Account Number

Please note that the bank account should be a pound sterling account held at a UK-regulated credit or e-money institution in the sole/joint name of the applicant.

Section 6 – Applicant Declaration

By signing (or equivalent) and submitting this application form, I hereby irrevocably declare that:

1. I have read and understood the Prospectus and agree to be bound by the Terms and Conditions of the Application as set out in the Prospectus and as further set out in this Application Form.
2. To my knowledge, I have provided accurate information and signed or personally inserted by name/electronic signature below. Where I/we have completed this Application Form on behalf of the Applicant, I/we confirm that the Applicant has given me/us the authority to do so*.
3. Where applicable, I confirm the amount of the initial adviser charge payable to my financial adviser set out in Section 8 and agree to the Company facilitating payment of such as set out in this Application Form and the Terms and Conditions of the Application.
4. If my financial intermediary has classified me as a Professional Client for this Application, I am aware of the risks involved in such classification and of the rights I am giving up, and I wish to be treated as a Professional Client in respect of my Application.
5. I hereby authorise the Company, the Receiving Agent and the Company's Registrar to provide to the financial Intermediary (including any associated network or service provider) noted in Section 7 (or such replacement financial intermediary notified in writing) upon request, information regarding my shareholding in the Company (including any existing shares).

*Please confirm below whether this application form will be signed by or on behalf of the applicant:

- I am the applicant and will personally insert my name in the box below.
- I am the applicant's financial intermediary (as per Section 7) and will insert my name in the box below on behalf of the applicant.
- I am not the applicant's financial intermediary, but the applicant has given me the authority to sign this application form on their behalf. I will provide the power of attorney, or a copy thereof duly certified in ink by a solicitor or bank to the Receiving Agent using the applicant's initials and telephone number as the reference (i.e., the same reference as used for payment of application monies).

Signature

Print Name

Date

 / /

Section 7 – Financial Intermediary Details

Firm details

Firm Name Firm FCA No

Individual adviser/intermediary details

Name

Email Address

Phone Number

Section 8 – Financial Intermediary Remuneration

Please complete either (A) or (B) below:

(A) Initial adviser charge

I have provided financial advice to the applicant who is a Retail Client (as per COBS 3.4) of my firm and have agreed on the following initial adviser charge, which complies with COBS 6.1A.

£

Please enter "0" if NIL or no facilitation required.

(B) Initial commission

Please confirm the nature of the service provided to the applicant:

We have acted in an execution-only capacity on behalf of the applicant.

We have provided restricted advice to the applicant who is a Professional Client (as per COBS 3.5) of the firm.

Please insert the amount of initial commission you wish to waive (up to 1% of the total application amount) for the benefit of the applicant in the box below:

%

Please enter "0" if NIL

Payment administration

Please confirm the firm's bank account into which any initial facilitated adviser charge or commission payment associated with this application should be paid:

Account in name of

Sort Code Account Number

If you would like your firm's finance department to receive a copy of a statement of payment, please provide the relevant email address below:

Firm's Finance Department
Email Address

Note: By default, the Receiving Agent will email a copy of the statement to the individual adviser/intermediary.

Section 9 – Financial Intermediary Declaration

By signing (or equivalent) and submitting this application form, we, the financial intermediary firm identified in Section 7, confirm that:

1. We have read and understood the Prospectus and agree to be bound by the Terms and Conditions of the Application as set out in the Prospectus and this Application Form.
2. We have applied customer due diligence measures on a risk-sensitive basis regarding the Application to the standard required by the Money Laundering Regulations within the guidance for the UK financial sector issued by the Joint Money Laundering Steering Group. If the Company or the Manager, or the Receiving Agent require additional information to accept the application, we will provide it to them within two Business Days of receiving their request.
3. Where we have advised the Applicant concerning an investment in the Company, such investment is considered suitable for the Applicant in their current circumstances.
4. Our details included in this Application Form are true and accurate.
5. We undertake to notify the Company of any changes to our details provided above or if the Applicant ceases to be our client regarding their investment in the Company.
6. Where we have completed and signed this Application Form on behalf of the Applicant, we confirm that we are duly authorised by the Applicant to do so (and will provide the power of attorney or a copy thereof duly certified in ink by a solicitor or bank on request).
7. The individual who has signed the form has the authority to sign this declaration on behalf of the financial intermediary detailed in Section 7.

Signature

Print Name

Date